



Risk-based Combined Assurance Masterclass (24 CPD hours)

An all-encompassing educational and developmental experience for accountants

Birchwood Hotel, Johannesburg / Online live streaming

24 – 26 February 2021 & 28 – 30 July 2021

This workshop will be facilitated by a Risk, Strategy and Assurance expert. Delegates will be exposed to “hands-on” learning through comprehensive case studies

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OVERVIEW

King IV suggests that the Audit Committee should assume responsibility for assurance by setting the direction concerning the arrangements for assurance services. It should also oversee that a combined assurance model is designed and implemented to effectively cover the significant risks and material matters of the organisation through a combination of assurance providers.

This 3-day Risk-based Combined Assurance Masterclass equips Risk Practitioners and Assurance Providers with tools that will help them develop a risk based combined assurance model that is compliant with King IV or enhance an existing one to ensure that risk management is able position to make an effective contribution to the achievement of organisational goals.

Delegates will be exposed to a number of case studies on how combined assurance has been implemented in a number of organisations.

WHO SHOULD ATTEND?

- Audit Committee members and directors of companies;
- Risk Committee members;
- Chief Risk Officers and Chief Audit Executives;
- Risk Managers and Internal Audit Managers;
- Internal and external auditors; and
- Risk champions.

LEARNING OUTCOMES AND BENEFITS

In just 3 days delegates will be able to learn the following:

- Explain the King IV requirements with regard to Combined Assurance;
- Define the roles and responsibilities for the various role players in the Combined Assurance model;
- Model implementation;
- Prepare a business case for implementing a Combined Assurance model;
- Learn how to implement a Combined Assurance Model step by step; and
- Assess the Combined Assurance Model maturity level for the organisation.



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COURSE OUTLINE

9. Module 1 – Introduction to Combined Assurance

- Background and Intent of Combined Assurance
- King IV requirements in respect of Combined Assurance
- Differences between Integrated Assurance & Combined Assurance
- The Business Case for Combined Assurance
- Essential Elements/ Success Factors
- Key Players and their Roles

Group exercises on King IV combined assurance requirements.

10. Module 2 – The role of the Board in Combined Assurance

- King IV Corporate Governance requirements for Boards
- Role of Audit and Risk Committees
- Role of the Board and Board Committees in Combined Assurance
- How to enhance the effectiveness of the Audit and Risk committees

Case study on role of Audit Committee in Combined Assurance.

11. Module 3 – The role that Risk Management plays

- Definition of Enterprise-wide Risk Management (ERM)
- Definition of Risk Universe, Risk Appetite and ERM frameworks
- Role of Risk Owners and Risk Champions in the ERM process
- Risk Registers and the Annual ERM Work Plan
- Role of Risk Management in Combined Assurance
- How to enhance risk management contribution

Case study on ERM implementation and synergies with the Combined Assurance model

12. Module 4 - The Role that Internal Audit & External Assurance providers play

- Risk-based Internal Auditing
- Risk-based assurance to Risk Committees
- Drafting the Internal Audit Work Plan
- Role of Internal Audit & external assurance providers in the Combined Assurance
- How to enhance Internal Audit & external assurance providers contribution.

Case study on Risk-based internal auditing

5. Module 5 – Designing the Combined Assurance Model

- Define objectives
- Conduct a GAP analysis – understand and map current state
- Mapping Risk Information (how much/ how little)
- Model Design
- Embedding into the day-to-day
- Maturity models with regard to combined assurance

6. Module 6 – Drafting the Combined Assurance Plan

- Plan objectives
- Plan layout

Group exercise: Combined Assurance Plan

7. Module 7 – Case studies (SA & Abroad)

- Interrogation of success stories in private and public entities
- Delineation of Success Factors
- Global case studies

Case studies on implementation of Combined Assurance

PROGRAMME DIRECTOR



Themba Mazibuko is the President and CEO of Dupleix Institute and Dupleix Consulting. He is a highly sought-after Risk and Strategy facilitator and trainer with more than 23 years financial services experience gained in South Africa and at least 13 countries in Africa. He is a Chartered Accountant (South Africa), an FRM (Financial Risk Management) holder, MBA holder and a member of the CFA Institute based in America. He has also delivered over 600 talks and training workshops over 10 years to a number of financial institutions on Enterprise-wide Risk Management (ERM), Risk-based Combined Assurance, Governance, Asset-Liability Management and compliance topics. Themba is an expert on Enterprise-wide Risk Management (ERM) and Financial Risk Management (FRM) and Asset-Liability Management (ALM). He has consulted for a number of large financial institutions in at least 10 African countries assisting them to implement ERM, Basel II/Basel, IFRS 9 and IFRS 17 projects. He worked for 12 years for KPMG and 5 years for Barclays Africa where he was Treasurer for Barclays Africa Retail and Business Bank

Dupleix Institute can also organise customised, in-house training interventions in line with your organisation strategy & training plan. Contact Farisai on sheila@dupleixinstitute.com or +27 87 985 0446

UPCOMING TRAININGS

1. Multi-generational Talent Management & Succession Planning Masterclass – 17 – 19 March 2021
2. Advanced Secretaries and PA Masterclass – 24 – 26 March 2021
3. Advanced Excel and Financial Modelling Masterclass – 21 – 23 April 2021
4. Performing Effective Lifestyle Audits Masterclass – 28 – 30 April 2021
5. GRAP update 2021-2022 Masterclass – 19 – 21 May 2021
6. Category Management and Strategic Sourcing in Procurement Masterclass – 26 – 28 May 2021
7. PFMA Masterclass – 23 – 25 June 2021
8. Finance for Non-Finance Managers Masterclass- 28 – 30 June 2021
9. Advanced Managing and Measuring Learning and Development Masterclass – 21 – 23 July 2021
10. Combined Assurance Masterclass – 28 – 30 July 2021
11. Multi-generational Talent Management & Succession Planning Masterclass – 25 – 27 August 2021
12. The Women Leadership Development Conference – 18 – 20 August 2021
13. Advanced Secretaries and PA Masterclass – 15 – 17 September 2021
14. Performing Effective Lifestyle Audits Masterclass – 27 – 29 September 2021
15. Advanced Excel and Financial Modelling Masterclass – 20 – 22 October 2021
16. Category Management and Strategic Sourcing in Procurement Masterclass – 27 – 29 October 2021
17. Financial for Non-Finance Managers Masterclass – 17 – 19 November 2021
18. PFMA Masterclass – 24 – 26 November 2021
19. GRAP Update 2022/2023 (including Financial Statement Preparation) Masterclass – 8 – 10 December 2021

REGISTRATION FORM – Combined Assurance Masterclass

Investment: R8 999 Excl VAT (Online course fees)

R10 999 Excl VAT (Course fees with no accommodation)

R19 999 Excl VAT (All-inclusive fees including accommodation, food, airport-transfers and costs of the Johannesburg/ Soweto Trip)

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AUTHORISING PERSON:

First name:		Surname:	
Company:		Designation:	
Postal Address		Phone:	
Country:		Fax:	
Postal Code:		VAT No:	
E-mail Address:			
Signature:		Date:	

By completing and signing this form, the authorising signatory accepts the terms and conditions stated on this form

DELEGATE INFORMATION

Please provide information as you wish it to appear on your name badge (s) and your certificate of attendance.

Title	Delegate name and surname	Designation	E-mail

TERMS AND CONDITIONS

Delegate substitution

Delegates can be substituted at any time at no extra cost. Please inform us of the new name(s) for registration. You may transfer at no extra charge to another event, provided you do so in writing at least 10 working days before the event. Transfers within the 10 working days will be charged an administration fee of 10%.

Cancellations

14 days prior to the course, 75% of the registration fee is refundable, 13 to 6 days prior to the course, 50% is refundable. Within 5 days of the course, 25% is refundable. NO REFUNDS FOR NO SHOWS.

In the event of unforeseen circumstances, Dupleix Institute reserves the right to change the workshop content, the speakers, the venue or the date. You will be notified no less than 5 working days prior to any event. Should the event be postponed, you will have the option to attend the next available date of the relevant event. The registration fee will be credited on the delegate accounts should they opt not to attend the next available date of the relevant event or in the case where an event is cancelled.

PAYMENT DETAILS

Make payment to:

Dupleix Institute (Pty) Ltd
First National Bank
Account number 62410185880
Sandton City Branch code,
Branch number/Sort Code 250655
Swift code FIRNZAJJXXX

Please note that payment is required in full before the workshop date.